

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitution form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/660,952
	Filing Date	September 12, 2003
	First Named Inventor	Thomas H. James, et al.
	Art Unit	2644
	Examiner Name	Xu Mei
Sheet 1 of 1	Attorney Docket Number	PD-202107

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
BTP		BHASKARAN, V. ED – Institute of Electrical and Electronics Engineers: "Mediaprocessing in the Compressed Domain" Digest of Papers of COMPCON (Computer Society Conference) 1996 Technologies for the Information Superhighway. Santa Clara, Feb. 25 – 28, 1996, Digest of Papers of the Computer Society Computer Conference COMPCON, Los Alamitos, IEEE Comp. Soc. Press, Vol. Conf. 41, 25 February 1996 (1996-02-25), pages 204-209, XP010160896 – ISBN: 0-8186-7414-8 *abstract*, *page 208, right-hand column, paragraph 3 – page 209, left-hand column, paragraph 1*	
BTP		BRANDENBURG, K. et al.: "ISO-MPEG-1 AUDIO: A GENERIC STANDARD FOR CODING OF HIGH-QUALITY DIGITAL AUDIO" Journal of the Audio Engineering Society, Audio Engineering Society. New York, US, Vol. 42, no. 10, October 1994 (1994-10), pages 780-792, XP000978167 – ISSN: 0004-7554 *abstract*, *page 785, left-hand column, paragraph 2 – page 786, left-hand column, paragraph 1; figures 2,3*	
BTP		HANS, M. et al.: "An MPEG Audio Layered Transcoder" – Preprints of Papers Presented at the AES Convention, XX, XX, September 1998 (1998-09), pages 1-18, XP001014304 - *abstract*, *page 11, paragraph 1*	

Examiner Signature		Date Considered	11/8/07
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	10/660,952
	Filing Date	September 12, 2003
	First Named Inventor	Thomas James
	Art Unit	2644
	Examiner Name	CHIN, Vivian C.
Date: January 23, 2006		
Sheet 1 of 1	Attorney Docket Number	PD-202107

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BTP		US-5,966,120	10-1999	Arazi et al.	
		US-5,461,619	10-1995	Citta et al.	
		US-5,650,825	07-1997	Naimpally et al.	
		US-2002/0085584	07-2002	Itawaki et al.	
BTP		US-5,802,068	09-1998	Kudo, Yoshinobu	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T


Examiner Signature	<u>B. J. J.</u>	Date Considered	1/23/07
--------------------	-----------------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	10/660,952
	Filing Date	September 12, 2003
	First Named Inventor	Thomas James
	Art Unit	2644
	Examiner Name	CHIN, Vivian C.
Date: March 6, 2006		
Sheet 1 of 1	Attorney Docket Number	PD-202017

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BTP		US-6,137,834	10-24-2000	Wine et al.	
BTP		US-6,298,089	10-02-2001	Gazit, Hillel	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
BTP		GB-2341745	03-22-2000	Snell & Wilcox Limited		

Examiner Signature		Date Considered	1/8/07
--------------------	---	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	10/660,952
	Filing Date	September 12, 2003
	First Named Inventor	Thomas James
	Art Unit	2644
Date: May 17, 2006	Examiner Name	CHIN, Vivian C.
Sheet 1 of 1	Attorney Docket Number	PD-202017

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BT		US-5,448,568	09-1995	Delpuch et al.	
BT		US-5,506,844	04-1996	Rao, R. Padmanabha	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

Examiner Signature		Date Considered	1/8/07
-----------------------	---	--------------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Complete if Known

Application Number	10/660,952
Filing Date	September 12, 2003
First Named Inventor	Thomas James
Art Unit	2615
Examiner Name	CHIN, Vivian C.

Date: August 25, 2006

Sheet 1 of 1

Attorney Docket Number PD-202107

U.S. PATENT DOCUMENTS

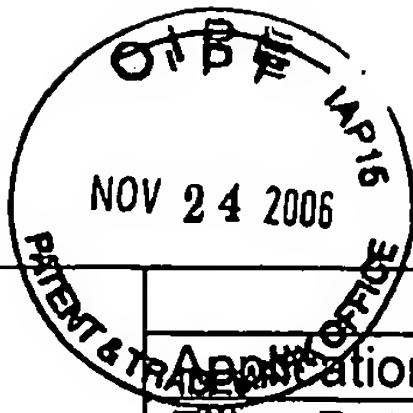
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
B7P		JP 2001-111969	04-2001	NEC Corporation		

Examiner Signature		Date Considered	1/8/07
-----------------------	--	--------------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Substitute for form PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known	
	Application Number	10/660,952
	Filing Date	September 12, 2003
	First Named Inventor	Thomas James
	Art Unit	2615
	Examiner Name	CHIN, Vivian C.
Date:	November 22, 2006	
Sheet 1 of 1	Attorney Docket Number	PD-202017

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BTP		US-6,064,676	05-2000	Slattery et al.	
		US-6,687,247	02-2004	Wilford et al.	
		US-5,987,031	11-1999	Miller et al.	
		US-7,035,278	04-2006	Bertram et al.	
BTP		US-2002/0146023	10-2002	Myers, Regan	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
BTP		JP 10 284960 (English abstract)	10-23-1998	Matsushita Electric		
BTP		JP 2001 169248 (English abstract)	06-22-2001	Matsushita Electric		

Examiner Signature		Date Considered	1/8/07
--------------------	--	-----------------	--------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT APPLICATION

Page 1 of 2

Customer No. 020991

Form PTO-1449	Serial Number Filed Herewith	Docket PD- 202107
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant James et al.	
(Use several sheets if necessary)	Filing Date Filed Herewith	Group

U.S. PATENT DOCUMENTS

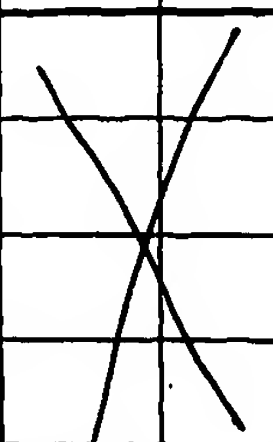
		Document Number	Date	Name	Class	Sub Class
BTP		6,430,233	08/06/2002	Dillon et al.		
		6,369,855	04/09/2002	Chauvel et al.		
		6,259,695	07/10/2001	Ofek		
		6,252,848	06/26/2001	Skirmont		
		6,208,666	03/27/2001	Lawrence et al.		
		6,195,438	02/27/2001	Yumoto et al.		
		6,188,439	02/13/2001	Kim		
		6,169,973	01/02/2001	Tsutsui et al.		
		6,169,807	01/02/2001	Sansur		
		6,169,584	01/02/2001	Glaab et al.		
		5,991,812	11/23/1999	Srinivasan		
		5,912,890	06/15/1999	Park		
		5,898,675	04/27/1999	Nahumi		
		5,877,821	03/02/1999	Newlin et al.		
		5,864,557	01/26/1999	Lyons		
		5,854,658	12/29/1998	Uz et al.		
		5,831,681	11/03/1998	Takahashi et al.		
		5,822,018	10/13/1998	Farmer		
		5,778,077	07/07/1998	Davidson		
		5,751,723	05/12/1998	Vanden Heuvel et al.		
		5,729,556	03/17/1998	Benbassat et al.		
		5,666,430	09/09/1997	Rzeszewski		
		5,657,454	08/12/1997	Benbassat et al.		
		5,579,404	11/26/1996	Fielder et al.		
		5,532,753	07/02/1996	Buchner et al.		
		5,463,620	10/31/1995	Sriram		
		5,424,770	06/13/1995	Schmelzer et al.		
		5,404,315	04/04/1995	Nakano et al.		
		5,363,147	11/08/1994	Joseph et al.		
		3,627,914	12/14/1971	Davies		
		2001 / 0016048	08/23/2001	Rapeli		
BTP		2002 / 0004718	01/10/2002	Hasegawa et al.		

PATENT APPLICATION

Page 2 of 2

Customer No. 020991

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Name	Class	Sub Class
BTP		EP 1 150 446 A2	10/31/2001	Sony Corporation		
		WO 99/53612	10/21/1999	Hearing Enhancement Compnay, LLC		
		JP 10-284960				
BTP		JP 2001-169248				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

BTP		Govindan et al., <i>Scheduling And IPC Mechanisms For Continuous Media</i> , 13 th ACM Symposium On Operating Systems Principles, 1991, 13 pages
-----	--	---

Examiner	Date Considered
	1/8/07